

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

ATTORNEY'S DOCKET NUMBER

5035-227US/P32,084 USA

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/561325INTERNATIONAL APPLICATION NO.
PCT/GB2004/002725INTERNATIONAL FILING DATE
23 June 2004PRIORITY DATE CLAIMED
23 June 2003

TITLE OF INVENTION

A PORTABLE COMPUTING DEVICE WITH A NON-VOLATILE MEMORY DRIVE

APPLICANT(S) FOR DO/EO/US

Richard Colin Fitzgerald

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 729928056 US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.55) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/561325</div> | INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/GB2004/002725</div> | ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">5035-227US/P32,084 USA</div> |
|-----------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|

23. Other items or information:

Application Data Sheet - 3 pages
 Response to PCT Written Opinion dated April 22, 2005 - 3 pages
 Published PCT/GB2004/002725 - 13 pages
 Copy of PCT application as amended on April 22, 2005 - 13 pages

PCT Demand - 3 pages
 PCT/IPEA/402 - 1 page
 PCT/IB/308 - 1 page

| The following fees have been submitted: | CALCULATIONS | PTO USE | | | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|------|--------------|-----------|---|------------|--------------------|---------|---|------------|-----------|--|
| 24. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.401) \$300 | \$ 300.00 | | | | | | | | | | | | | |
| 25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ... \$0 All other situations. \$200 | \$ 200.00 | | | | | | | | | | | | | |
| 26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500 | \$ 400.00 | | | | | | | | | | | | | |
| TOTAL OF 24, 25 and 26 = | \$ 900.00 | | | | | | | | | | | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Sheets</th> <th>Extra Sheets</th> <th>Number of each additional 50 or fraction thereof (round up to a whole)</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>- 100 =</td> <td>0 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </tbody> </table> | Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | - 100 = | 0 /50 = | 0 | x \$250.00 | \$ 0.00 | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | | | | | | | | | | | |
| - 100 = | 0 /50 = | 0 | x \$250.00 | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>23 - 20 =</td> <td>3</td> <td>x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$200.00</td> </tr> </tbody> </table> | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 23 - 20 = | 3 | x \$50.00 | Independent claims | 3 - 3 = | 0 | x \$200.00 | \$ 150.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | |
| Total claims | 23 - 20 = | 3 | x \$50.00 | | | | | | | | | | | |
| Independent claims | 3 - 3 = | 0 | x \$200.00 | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | | | | | | | | |
| | | \$ 1,050.00 | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | | | | | | | | | | |
| | | \$ 0.00 | | | | | | | | | | | | |
| SUBTOTAL = | | \$ 1,050.00 | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | | | | | | | | | |
| | | \$ 0.00 | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | \$ 1,050.00 | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | | | | | | | | | | | |
| | | \$ 40.00 | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | \$ 1,090.00 | | | | | | | | | | | | |
| | | Amount to be \$ | | | | | | | | | | | | |
| | | Amount to be \$ | | | | | | | | | | | | |

10/561325

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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- 7
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3040. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard C. Woodbridge, Esq.
Synnestvedt Lechner & Woodbridge LLP
P.O. Box 592
Princeton, NJ 08542-0592


SIGNATURE

Richard C. Woodbridge

NAME

26,423

REGISTRATION NUMBER

Dec. 20, 2005
DATE

10/561325

IAP20 REG. CHRG 20 DEC 2005

FILE NUMBER 5035-227US/P32084 USA

"EXPRESS MAIL CERTIFICATE"

"Express Mail" Mailing Label Number: EV 729928056 US

Express Mail Corporate Account Number: X085783

Date of Deposit: Dec. 20, 2005

Title: A PORTABLE COMPUTING DEVICE WITH A NON-VOLATILE MEMORY DRIVE

INVENTOR: Richard Colin Fitzgerald

Type of Documents:

1. PCT National Phase Transmittal Letter for PCT/GB2004/002725 (x2) - 3 pages;
2. Form PTO-2038 for \$1,050.00 US national phase filing fee - 1 page;
3. Preliminary Amendment - 3 pages;
4. Copy of PCT Application as filed with PCT cover page- 13 pages;
5. Copy of PCT Application as amended on April 22, 2005 - 13 pages;
6. Copy of Response to PCT Written Opinion dated April 22, 2005 - 3 pages;
7. Copy of PCT International Preliminary Report on Patentability - 10 pages;
8. Copy of PCT Demand - 3 pages;
9. Copy of PCT Notification of Receipt of Demand - 1 page;
10. Form PCT/IB/308 - 1 page;
11. Copy of PCT International Search Report - 3 pages;
12. Application Data Sheet - 3 pages;
13. Copy of UK Search Report dated July 21, 2004 - 1 page;
14. Form PTO-1449 - 1 page;
15. Copy of patent reference WO 01/09722 + Search Reports - 23 pages;
16. Executed Declaration and Power of Attorney - 3 pages;
17. Recordation Form Cover Sheet + executed assignment - 2 pages;
18. Form PTO-2038 for \$40.00 - 1 page;
19. This "Express Mail" Certificate; and;
20. Acknowledgment Post Card.

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner of Patents and Trademarks, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

Richard C. Woodbridge, Esq.

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)